

NSCP*Currents*

Submission Guidelines

“For Compliance, by Compliance.”

NSCP*Currents* welcomes unsolicited articles from NSCP members and non-members. All articles will be reviewed by members of the Editorial Board, the full Publications Committee, and select NSCP staff. Typically, a lead editor will be assigned to each article to work directly with the author.

The Submission Guidelines have been established to promote an effective and objective editorial process, although NSCP reserves the right to reject any article, at any time.

Any questions, comments or concerns should be submitted to the NSCP Staff and Publication Committee through [email](#).

Deadlines

Articles may be submitted at any time and will be reviewed as they are received.

Topic, Content and Takeaways

Articles should discuss timely topics, new or ongoing regulations and/or industry issues. If you are interested in writing but need help choosing a topic, please contact the NSCP Staff and Publications Committee at publications@nscp.org.

Articles should seek to add to the body of knowledge on previously explored subjects, and potential authors who are members of NSCP are encouraged to explore the *Currents* archives in the [NSCP Resource Library](#) to find examples of what has been previously addressed in *Currents*. All prospective authors may wish to review the annual [Best of Currents](#) issue, which is the December issue each year, and is available to both members and non-members.

There are many good resources that summarize new regulations. *Currents* differentiates itself by offering what other publications often lack – practical, actionable takeaways – which may be guidance, tools and/or resources. Many articles are accompanied by sample policies and procedures, checklists, or concrete examples of how firms can enhance their compliance programs to address emerging or ongoing challenges. Other useful articles identify new trends and how firms may wish to adapt to them.

References to other works must be properly cited. For ease of the readers, rules, enforcement cases or other guidance can be hyperlinked or included in footnotes and should contain sufficient information for the reader to independently locate the resource (e.g., regulatory notice, title of risk alert, enforcement case number and date).

Other than articles that are identified as reprints, all content must be original to the author(s) and not plagiarized.

Length

The Publications Committee does not have a specific length requirement. Timely articles on emerging risks may be shorter, or deep analyses may be longer. Larger topics may be broken into a series (i.e., multiple articles of a directly, related nature). Generally, the Publications Committee will request to see all articles in the series, or at minimum an outline.

Submission Method and Format

Articles should be submitted through the [NSCP Currents Contributor Portal](#). Alternatively, the article can be

submitted via email if the author is working with a member of the Publications Committee. All articles should be submitted in Word (.doc or .docx) or PDF (.pdf) format.

If a graph, chart, diagram or picture is created in a program other than Word, please send the file in the original format. If the chart, graph or diagram is copied from another source, the source must accompany the article.

Please proofread all articles and resources prior to submission.

Author Credits

Each author will be credited for a contribution using the following standard format:

[Author's First and Last Name] is the/a [job title] with [firm name] (hyperlink to firm's website).
[He/She/They] can be reached at [email address].

The contributor is responsible for providing this information for each author at the end of the article submission. Multiple authors may be listed, although typically not more than three for any article.

Disclaimers

All articles will automatically contain the following disclosure:

***"Disclaimer:** The information provided in this article is for general informational purposes only and is not intended as professional compliance or legal advice. The views expressed are those of the individual authors writing in their individual capacities only, not those of their respective employers or NSCP. NSCP assumes no responsibility or liability for the content of this article or for any errors or omissions. Readers should consult with qualified professionals regarding all regulatory, compliance, or legal issues."*

If the author or author's firm requires a separate disclaimer, such disclaimer should be included at the end of the article submission.

Reprints

If a submission is a reprint of a previously published article, documentation of reprint permission must accompany the article. Reprints submitted without the permission will not be considered until such is received. All reprints must be identified as such at the time of submission.

Marketing and Advertisements

Marketing of products and services within an article is generally prohibited; however, resource materials may be labeled "Courtesy of [Firm Name]" but may not contain a marketing solicitation.

Reprint Permission

If approved by the Publications Committee, articles will be published and available to NSCP members on the NSCP [Currents On Demand](#) webpage. The publication schedule will be determined by the committee. The contributor will be notified of the anticipated publication date. Following publication, the contributor will be provided with a copy of the article along with reprint instructions. A reprint copy of the article will also be available to NSCP Members for download in the NSCP Resource Library.